



Attorney Docket: No.5051-473

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Helmer et al.

Serial No.: 09/733,869

Filed: December 8, 2000

For: *HIGH EFFICIENCY GENE TARGETING IN PLANTS*

Examiner: M. Maravich

Group Art Unit: 1633

Confirmation No. 3142

March 30, 2006

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §  
1.97(b)**

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the amendment by the U.S. Patent and Trademark Office to 37 C.F.R. § 1.98(a)(2)(ii) effective October 21, 2004. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP.

This Information Disclosure is submitted in accordance with 37 C.F.R. § 1.97(b)(4), before the mailing of a first action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114. Therefore, no fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,

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*Tracy Wallace*  
Tracy Wallace

Substitute form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/733,869
Filing Date	December 8, 2000
First Named Inventor	Helmer et al.
Group Art Unit	1633
Examiner Name	Maria Marvich
Attorney Docket Number	5051-473

**U.S. PATENTS AND PATENT PUBLICATIONS**

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	1.	US 5,527,695		Hodges et al.	06/18/1996
	2.	US 5,773,689		Thompson et al.	06/30/1998
	3.	US 5,773,695		Thompson et al.	6/30/1998
	4..	US 6,303,848		Kumagai et al.	10/16/2001
	5..	US 6,686,515		Lassner et al.	02/03/2004
	6.	US 6,037,525		Thompson et al.	03/14/2000
	7.	US 6,100,448		Thompson et al.	08/08/2000
	8.	US 6,239,328		Thompson et al.	05/29/2001
	9.	US20040016014		Nguyen et al.	01/22/2004
	10.	US20040016015		Nguyen et al.	01/22/2004

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation
		Office	Number	Kind Code (if known)			
	11.	WO	97/41228		Gordon-Kamm et al.	11/06/1997	
	12.	EP	265,556		Leemans et al.	05/04/1988	

**OTHER NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	13.	Copy of Specification of U.S. Application No. 09/733,397 filed December 8, 2000, titled "High Efficiency Transformation in Plants Using a Site-Specific Recombinase" 52 pages. (Attorney Docket No. 5051-472.)	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.